

A Joint Information Management Policy of AASHTO, ITE, and NEMA

NTCIP 8003:2001 v01.08

National Transportation
Communications for ITS Protocol
Profile Framework

December 2001

Published by

American Association of State Highway and Transportation Officials (AASHTO)

444 North Capitol Street, N.W., Suite 249
Washington, D.C. 20001

Institute of Transportation Engineers (ITE)

1099 14th Street, N.W., Suite 300 West
Washington, D.C. 20005-3438

National Electrical Manufacturers Association (NEMA)

1300 North 17th Street, Suite 1847
Rosslyn, Virginia 22209-3801

© 2001 AASHTO / ITE / NEMA. All rights reserved.

© 2001 by the American Association of State Highway and Transportation Officials (AASHTO), the Institute of Transportation Engineers (ITE), and the National Electrical Manufacturers Association (NEMA). All intellectual property rights are reserved, except as described as follows, by the copyright owners under the laws of the United States of America, the Universal Copyright Convention, the Berne Convention, and the International and Pan American Copyright Conventions. Permission to reproduce, distribute, and/or translate into other languages is granted provided that: (1) this copyright notice appears on the front of the document, (2) "© 2001 AASHTO / ITE / NEMA" appears on every page of the text, and (3) the text is not edited or used out of context.

ACKNOWLEDGEMENTS

This publication was prepared by the NTCIP Profiles Working Group, which is a subdivision of the Joint Committee on the NTCIP. The Joint Committee is organized under a Memorandum of Understanding among the American Association of State Highway and Transportation Officials (AASHTO), the Institute of Transportation Engineers (ITE), and the National Electrical Manufacturers Association (NEMA). The Joint Committee on the NTCIP consists of six representatives from each of the standards organizations, and provides guidance for NTCIP development.

At the time that this document was prepared, the following individuals were active members of the NTCIP Profiles Working Group:

- Robert De Roche (Chair)
- Robert Force
- W. L. (Bud) Kent
- Gary Meredith
- Alexis Mousadi
- Brian Paulsmeyer
- Mike Robinson
- Nu Rosenbohm
- Kenneth Vaughn
- Hoi Wong

Other individuals providing input to the document include:

- Joey Baumgartner
- Al Bonificio
- Phil Cabooter
- Ken Earnest
- Michael Forbis
- Joseph Herr
- Dave Kingery
- Doug Lowe
- Don Ninke
- Jeff Racz
- Ray Starr
- Dan Vanada

In addition to the many volunteer efforts, recognition is also given to those organizations who supported the efforts of the working groups by providing comments and funding for the standard, including:

- ARINC, Inc.
- Caltrans
- Eagle Traffic Control Systems
- Econolite Control Products, Inc
- Minnesota Department of Transportation
- Ministry of Transportation, Ontario
- Naztec, Inc.
- New York State DOT
- Odetics ITS, Inc.
- PB Farradyne, Inc.
- Peek Traffic Systems, Inc.
- Skyline Products
- Southwest Research Institute
- Texas DOT

- Vanasse, Hagen & Brustlin, Inc.
- Washington State DOT

FOREWORD

This document uses only metric units.

This document is an NTCIP Process, Control, and Information Management Policy document. Process, Control, and Information Management Policy documents define the practices and policies used by the Joint Committee on the NTCIP in developing and maintaining NTCIP standards and documents. PCIMP documents are approved for publication by AASHTO, ITE, and NEMA after recommendation by the Joint Committee on the NTCIP.

The text includes mandatory requirements in Annex A that are defined as normative.

For more information about NTCIP standards, visit the NTCIP Web Site at <http://www.ntcip.org>. For a hardcopy summary of NTCIP information, contact the NTCIP Coordinator at the address below.

In preparation of this NTCIP document, input of users and other interested parties was sought and evaluated. Inquiries, comments, and proposed or recommended revisions should be submitted to:

NTCIP Coordinator
National Electrical Manufacturers Association
1300 North 17th Street, Suite 1847
Rosslyn, VA 22209-3801
fax: (703) 841-3331
e-mail: ntcip@nema.org

Approvals

This document was separately balloted and approved by AASHTO, ITE, and NEMA after recommendation by the Joint Committee on the NTCIP. Each organization has approved this standard as the following standard type, as of the date:

AASHTO – AASHTO Policy; May 2000
ITE – Informational Report; May 2001
NEMA – Authorized Engineering Information; May 2001

History

From 1997 to 1999, this document was referenced as TS 3.PRO. However, to provide an organized numbering scheme for the NTCIP documents, this document is now referenced as NTCIP 8003. The technical specifications of NTCIP 8003 are identical to the former reference, except as noted in the development history below:

TS 3.PRO v97.01.08. December 1997 – Accepted as a User Comment Draft by the Joint Committee on the NTCIP.

NTCIP 8003 v01.07. July 1999 – Version 01.05 accepted as a Recommended Standard by the Joint Committee on the NTCIP. In January 2000, the NTCIP Standards Bulletin B0047 reported that typographic corrections were included in v01.06. Approved by AASHTO in May 2000. Version 01.07 approved by ITE and NEMA in May 2001 after disposition of a Letter Ballot comment.

NTCIP 8003 v01.08. December 2001 – Reformatted for printing: incremented version number and updated date; modified and reorganized front matter to conform to NTCIP 8002; updated headers, footers, and page numbers.

<This page is intentionally left blank.>

INTRODUCTION

The context of the NTCIP is one part of the Intelligent Transportation Systems standardization activities covering base standards, profiles, and registration mechanisms.

- Base Standards define procedures and rules for providing the fundamental operations associated with communications and information that is exchanged over fixed-point communications links.
- Profiles define subsets or combinations of base standards used to provide specific functions or services. Profiles prescribe particular subsets or options available in base standards necessary for accomplishing a particular function or service. This provides a basis for the development of uniform, nationally recognized conformance.
- Registration Mechanisms provide a means to specify and uniquely identify detailed parameters within the framework of base standards and/or profiles.

The Profiles Working Group is concerned with the methodology of defining profiles and their documentation in Standards Publications. The purpose of this standard is to provide the principles and a classification scheme for the development of NTCIP profiles. This standard also defines aspects of the formatting and technical content of profiles that conform to this standard. The objective is to facilitate the specification of ITS characterized by a high degree of interoperability and interchangeability of its components.

In 1992, the NEMA 3-TS Transportation Management Systems and Associated Control Devices Section began the effort to develop the NTCIP. Under the guidance of the Federal Highway Administration's NTCIP Steering Group, the NEMA effort was expanded to include the development of communications standards for all transportation field devices that could be used in an ITS network.

In September 1996, an agreement was executed among AASHTO, ITE, and NEMA to jointly develop, approve, and maintain the NTCIP standards. In August 1997, the Joint Committee on the NTCIP formed a new working group to develop a method for organizing class profiles. The Profiles WG first met in September 1997.

After research into how national and international standards organizations combine protocols and standards to address all seven layers of the ISO-OSI Reference Model, the committee adopted the approach defined in the *NTCIP Profile Framework*. Following that approach, a protocol stack is specified by application, transport, and subnetwork profiles. An application profile addresses the application, presentation, and session layers. A transport profile addresses the transport and network layers. A subnetwork profile addresses the data link and physical layers.

If you are not willing to abide by the following notice, return these materials immediately.

Disclaimer

The information in this publication was considered technically sound by the consensus of persons engaged in the development and approval of the document at the time it was developed. Consensus does not necessarily mean that there is unanimous agreement among every person participating in the development of this document.

AASHTO, ITE, and NEMA standards and guideline publications, of which the document contained herein is one, are developed through a voluntary consensus standards development process. This process brings together volunteers and/or seeks out the views of persons who have an interest in the topic covered by this publication. While AASHTO, ITE, and NEMA administer the process and establish rules to promote fairness in the development of consensus, they do not write the document and they do not independently test, evaluate, or verify the accuracy or completeness of any information or the soundness of any judgments contained in their standards and guideline publications.

AASHTO, ITE, and NEMA disclaim liability for any personal injury, property, or other damages of any nature whatsoever, whether special, indirect, consequential, or compensatory, directly or indirectly resulting from the publication, use of, application, or reliance on this document. AASHTO, ITE, and NEMA disclaim and make no guaranty or warranty, express or implied, as to the accuracy or completeness of any information published herein, and disclaims and makes no warranty that the information in this document will fulfill any of your particular purposes or needs. AASHTO, ITE, and NEMA do not undertake to guarantee the performance of any individual manufacturer or seller's products or services by virtue of this standard or guide.

In publishing and making this document available, AASHTO, ITE, and NEMA are not undertaking to render professional or other services for or on behalf of any person or entity, nor are AASHTO, ITE, and NEMA undertaking to perform any duty owed by any person or entity to someone else. Anyone using this document should rely on his or her own independent judgment or, as appropriate, seek the advice of a competent professional in determining the exercise of reasonable care in any given circumstances. Information and other standards on the topic covered by this publication may be available from other sources, which the user may wish to consult for additional views or information not covered by this publication.

AASHTO, ITE, and NEMA have no power, nor do they undertake to police or enforce compliance with the contents of this document. AASHTO, ITE, and NEMA do not certify, test, or inspect products, designs, or installations for safety or health purposes. Any certification or other statement of compliance with any health or safety-related information in this document shall not be attributable to AASHTO, ITE, or NEMA and is solely the responsibility of the certifier or maker of the statement.

CONTENTS

Section 1	GENERAL	1-1
1.1	Scope	1-1
1.2	References	1-1
1.3	Definitions	1-2
1.4	Abbreviations and Acronyms	1-3
Section 2	PRINCIPLES AND FRAMEWORK OF NTCIP PROFILES	2-1
2.1	Profiles	2-1
2.1.1	Relationship to Base Standards	2-1
2.1.2	Principles of Profile Content	2-1
2.1.3	The Meaning of Conformance to a Profile	2-1
2.1.4	Conformance Requirements of Profiles	2-2
2.2	Principles Defining Format and Content	2-2
2.3	ISO/IEC Profile Standards	2-2
2.4	NTCIP Standardized Profiles	2-3
2.5	NTCIP Profile Classification	2-5
2.5.1	NTCIP Device Profiles	2-5
2.5.2	NTCIP Information Profiles	2-5
2.5.3	NTCIP Applications Profiles	2-5
2.5.4	NTCIP Transport Profiles	2-6
2.5.5	NTCIP Subnetwork Profiles	2-6
2.5.6	NTCIP (Communications) Class Profiles	2-6
Annex A	RULES FOR DRAFTING AND PRESENTATION OF STANDARDIZED PROFILES	A-1
A.1	Introduction	A-1
A.2	Structure of Standardized Profiles	A-1
A.3	Preliminary Elements	A-1
A.3.1	Title Page	A-1
A.3.2	Table of Contents	A-2
A.3.3	Foreword	A-2
A.3.4	Introduction	A-2
A.4	General Normative Elements	A-2
A.4.1	Scope	A-2
A.4.2	References	A-2
A.5	Technical Normative Elements	A-3
A.5.1	Definitions	A-3
A.5.2	Abbreviations (and Acronyms)	A-3
A.5.3	Requirements	A-3
A.5.4	Interoperability Subclause	A-3
A.5.5	Normative Annexes	A-3
A.5.6	Notation	A-5
A.6	Supplemental Elements	A-6
A.6.1	Informative Annexes	A-6

<This page is intentionally left blank>

Section 1 GENERAL

1.1 SCOPE

This standard is applicable to traffic control and transportation related devices which must operate in an Integrated Transportation System. This standard develops the terminology, content, structure, and organization of standardized profiles.

1.2 REFERENCES

For approved amendments, contact:

NTCIP Coordinator
National Electrical Manufacturers Association
1300 North 17th Street, Suite 1847
Rosslyn, VA 22209-3801
fax: (703) 841-3331
e-mail: ntcip@nema.org

For draft amendments of this document, which are under discussion by the relevant NTCIP Working Group, and recommended revisions of the NTCIP Joint Committee, visit the World Wide Web at <http://www.ntcip.org>.

The following documents and standards may provide a more complete understanding of the structure and use of profiles.

Guide to Open System Specifications - The Taxonomy of Profiles, European Workshop for Open Systems, <http://www.ewos.be/common/taxonmy.htm>, February 16, 1999

Guide to Open Systems Specification - Conformance, Interoperability and Testing, European Workshop for Open Systems, <http://www.ewos.be/ct/eguide.htm>, February 16, 1999

US-Department of Defense Internet Related Standardized Profiles Index, DISA Internet Librarian, http://www-library.itsi.disa.mil/org/mil_std.html, October 31, 1997

American National Standards Institute (ANSI)
11 West 42nd Street, 13th Floor
New York, NY 10036

ISO/IEC TR 10000-1:1995 *Information Technology—Framework and Taxonomy of International Standardized Profiles -- Part 1: General Principles and Documentation Framework*

ISO/IEC TR 10000-2:1995 *Information Technology—Framework and Taxonomy of International Standardized Profiles -- Part 2: Principles and Taxonomy for OSI profiles*